

08/17/00

JCS35

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PTO/SB/05 (2/99)

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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

AVI 007

First Inventor or Application Identifier

Michael Chen

Title

Scanner with an output port connected to a printer or a storage device.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP Chapter 200 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification (Total Pages (preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets

4. Oath or Declaration (Total Pages

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 checked)
(Note Box 5 below)

i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (Use only if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above codes

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 203)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.29).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary statement:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CA)

of prior application No. _____

Prior application information Examiner _____

Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Winston Hsu			
Address	3F, No. 52, Lane 46, Ming-Sheng Road, Yung-Ho City, Taipei Hsien, Taiwan, R.O.C.			
City	State	Zip Code	234	
Country	Taiwan, R.O.C.	Telephone	886-2-2948-3200	Fax 886-2-2948-6200

Name (Print/Type)	Winston Hsu	Registration No. (Attorney/Agent)	41,526
Signature	Winston Hsu	Date	8/15/2000

Duration Statement: This form is estimated to take 40 hours to complete. Time will vary according upon the needs of the individual case. Any estimate on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JCS02 U.S. PTO

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)

730

Complete if Known

Application Number

Filing Date

First Named Inventor

Michael Chen

Examiner Name

Group / Art Unit

Attorney Docket No.

AVI 007

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
Deposit Account Name

50-0801

North America International Patent Office

- ☒
- Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17

- ☐
- Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:

☐ Check☒ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**Large Entity Fee Code (\$)
Small Entity Fee Code (\$)
Fee Description101 750 201 355 Utility filing fee
106 330 206 165 Design filing fee
107 540 207 270 Plant filing fee
108 750 208 355 Reissue filing fee
114 150 214 75 Provisional filing fee

Fee Paid

690

SUBTOTAL (1)

(\$)

690

2. EXTRA CLAIM FEESTotal Claims 8 - 3* = 0 x Fee from below = 0
Independent Claims 2 - 3* = 0 x Fee from below = 0
Multiple Dependent Claims 0 x Fee from below = 0

*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)
Small Entity Fee Code (\$)
Fee Description103 22 203 11 Claims in excess of 20
102 82 202 41 Independent claims in excess of 3
104 270 204 135 Multiple dependent claim, if not paid
109 82 209 41 ** Reissue independent claims over original patent
110 22 210 11 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**Large Entity Fee Code (\$)
Small Entity Fee Code (\$)
Fee Description105 130 205 55 Surcharge - late filing fee or oath
127 50 227 25 Surcharge - late provisional filing fee or cover sheet
139 130 239 130 Non-English specification
147 2,520 247 2,520 For filing a request for reexamination
112 920* 212 920* Requesting publication of SIR prior to Examiner action
113 1,840* 213 1,840* Requesting publication of SIR after Examiner action
115 110 215 55 Extension for reply within first month
116 400 216 200 Extension for reply within second month
117 360 217 475 Extension for reply within third month
118 1,510 218 755 Extension for reply within fourth month
128 2,030 228 1,030 Extension for reply within fifth month
119 310 219 155 Notice of Appeal
120 310 220 155 Filing a brief in support of an appeal
121 270 221 135 Request for oral hearing
138 1,510 238 1,510 Petition to institute a public use proceeding
140 110 240 55 Petition to revive - unavoidable
141 1,320 241 660 Petition to revive - unintentional
142 1,320 242 660 Utility issue fee (or reissue)
143 450 243 225 Design issue fee
144 570 244 335 Plant issue fee
122 130 222 130 Petitions to the Commissioner
123 50 223 50 Petitions related to provisional applications
125 240 225 240 Submission of Information Disclosure Stmt
581 40 581 40 Recording each patent assignment per property (times number of properties)
146 750 246 355 Filing a submission after final rejection (37 CFR 1.128(a))
149 750 249 355 For each additional invention to be examined (37 CFR 1.128(b))

Fee Paid

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

40

SUBMITTED BY

Typed or Printed Name

Winston Hsu

Signature

Winston Hsu

Date

8/15/2000

Complete (if applicable)

Reg. Number

41,526

Deposit Account User ID

1665

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.